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## *XMM-Newton* Observations of Solar Wind Charge Exchange Emission

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### ABSTRACT

We present an *XMM-Newton* spectrum of diffuse X-ray emission from within the solar system. The spectrum is dominated by O VII and O VIII lines at 0.57 keV and 0.65 keV, O VIII (and possibly Fe XVII) lines at  $\sim 0.8$  keV, Ne IX lines at  $\sim 0.92$  keV, and Mg XI lines at  $\sim 1.35$  keV. This spectrum is consistent with what is expected from charge exchange emission between the highly ionized solar wind and either interstellar neutrals in the heliosphere or material from Earth's exosphere. The emission is clearly seen as a low-energy ( $E < 1.5$  keV) spectral enhancement in one of a series of observations of the *Hubble* Deep Field North. The X-ray enhancement is concurrent with an enhancement in the solar wind measured by the *ACE* satellite. The solar wind enhancement reaches a flux level an order of magnitude more intense than typical fluxes at 1 AU, and has ion ratios with significantly enhanced higher ionization states.

Whereas observations of the solar wind plasma made at a single point reflect only local conditions which may only be representative of solar wind properties

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with spatial scales ranging from less than half of an Earth radii ( $\sim 10$  s) to 100 Earth radii, X-ray observations of solar wind charge exchange are remote sensing measurements which may provide observations which are significantly more global in character.

Besides being of interest in its own right for studies of the solar system, this emission can have significant consequences for observations of more cosmological objects. It can provide emission lines at zero redshift which are of particular interest (e.g., O VII and O VIII) in studies of diffuse thermal emission, and which can therefore act as contamination in objects which cover the entire detector field of view. We propose the use of solar wind monitoring data, such as from the *ACE* and *Wind* spacecraft, as a diagnostic to screen for such possibilities.

*Subject headings:* x-rays: solar system

## 1. Introduction

Diffuse X-ray emission from the solar system was clearly observed during the *ROSAT* All-Sky Survey (RASS) as the long-term background enhancements (LTEs, Snowden et al. (1995)), although their origin at the time was unknown but was associated with solar wind parameters (Freyberg 1994). With the observation of X-ray emission from comets (Lisse et al. 1996), solar wind charge exchange (SWCX) emission between solar wind ions and neutral material within the heliosphere was demonstrated (Cravens 1997). SWCX emission subsequently was suggested as the origin for LTEs (Cox 1998; Cravens 2000), and has recently been suggested as being responsible in quiescence for a significant fraction of diffuse X-ray emission at  $\frac{1}{4}$  keV (Lallement 2004; Robertson & Cravens 2003b) previously attributed to the Local Hot Bubble (Snowden et al. 1998).

More recently, evidence for geocorona emission (SWCX with exospheric material) has been detected in *Chandra* observations of the dark moon (Wargelin et al. 2004). This emission clearly originates in the near-Earth environment, which is consistent with the likely production of X-rays from the terrestrial magnetosheath (Robertson & Cravens 2003a). The statistics of the dark moon data are somewhat limited but they clearly show excess emission due to O VII and O VIII.

Analysis of cometary X-ray spectra suggest that solar wind charge state composition and speed affect the X-ray emission (Kharchenko et al. 2003; Schwadron & Cravens 2000). Thus through a combination of techniques including but not necessarily limited to X-ray and neutral atom imaging, it may be possible to continuously monitor the solar wind from well

inside Earth's magnetosphere. However, the nature of solar system X-ray emission is strongly dependent on the point of view of the observer. While it samples aspects of the solar system of interest to astronomers, it also provides a contaminating component which can strongly impact observations of extended cosmic X-ray sources. The emission spectrum is dominated by highly ionized carbon, nitrogen, oxygen, neon, possibly iron, and magnesium lines, which are also of great astrophysical interest (particularly the oxygen lines which are commonly used for temperature and density diagnostics of thermal emission from diffuse plasmas). For objects at zero redshift (e.g., emission from the Milky Way and nearby galaxies) where their emission is expected to fill the entire field of view of the detector, heliospheric emission is indistinguishable from that of the object, except to the extent where temporal variation can be detected.

While there is likely to be heliospheric emission at some quiescent level (Robertson & Cravens 2003b; Lallement 2004), the strongest emission will be associated with flux enhancements of the solar wind. These enhancements are long enough in duration that they can "contaminate" an entire observation, but variable enough that if there are multiple observations of a single target they can be identified, at least to some level. This was the basis of the LTE "cleaning" of the RASS (Snowden et al. 1995, 1997), and of *ROSAT* pointed observations which were typically distributed over the period of a few days if not weeks (Snowden et al. 1994).

In this paper we report the detection of SWCX emission during an *XMM-Newton* observation of the *Hubble* Deep Field North. We correlate the detection with a concurrent enhancement in the solar wind density observed by the *ACE* satellite. In § 2 we present the data, data reduction, and analysis, in § 3 we discuss the results, and in § 4 we detail our conclusions both in regards to the emission itself and the implications for X-ray observations of more distant objects.

## 2. Data and Data Analysis

### 2.1. X-ray Data

#### 2.1.1. Data Preparation

The heliospheric emission discussed in this paper was observed in the fourth (2001 June 1-2) *XMM-Newton* pointing of the *Hubble* Deep Field North (HDF-N,  $\alpha = 12^{\text{h}}36^{\text{m}}50.00^{\text{s}}$ ,  $\delta = +62^{\circ}13'00.0''$ , a project Guaranteed Time observation). Because of satellite constraints and the total length of the observation, it was broken into four segments (pointings), which were

scheduled over a period of 16 days (Table 1 gives the specifics of the pointings). Because of this multiple coverage, the length of the individual pointings, and relative freedom from other non-cosmic backgrounds (most critically the soft proton flaring), the HDF-N observation was chosen as a test case for a new non-cosmic background modeling and subtraction method for *XMM-Newton* EPIC data (Kuntz et al. 2004). The serendipitous detection of the SWCX emission came from that testing.

Outside of time intervals obviously affected by proton flaring (which were removed for this analysis), the light curves for the observations were reasonably flat indicating at least a low if not zero level of residual flaring. Figure 1 shows the light curves for the non-flaring periods of the fourth observation, and also includes the *ACE* data (discussed below). The light curve for the 2–8 keV band is relatively constant but the 0.52–0.75 keV band (covering the O VII and most of the O VIII lines) shows a significant drop off in the last quarter of the interval. We used this light curve to separate the spectrum into high and low states at  $T = 81578$  in Figure 1 (22:39:38 UT on 2001 June 1,  $T_{XMM} = 107822378$  seconds after 0 UT, 1998 January 1, in the *XMM-Newton* time reference system). Table 1 also lists the average count rates in the 0.52–0.75 keV and 2–8 keV bands for the different pointings. The 2–8 keV band count rate is reasonably consistent among all of the pointings, as is the average count rate for the 0.52–0.75 keV band, except for the time period affected by the SWCX emission. The minor, but greater-than-statistical scatter of the X-ray count rates during the non-SWCX periods is likely due to the unsubtracted particle background which is temporally variable, and/or variations in the residual heliospheric SWCX emission.

Data from the full field of view were used in this analysis. They were conservatively filtered by screening the events using the parameters “FLAG==0” and “PATTERN<=12” (only the best events selected by position and limiting the CCD pixel pattern to legitimate quadruple and lower pixel-number events). The non-cosmic background was modeled using the method of Kuntz et al. (2004), which is currently limited to the EPIC MOS data (i.e., excludes data from the EPIC PN detector). The nominal non-cosmic background, which is dominated by the quiescent high-energy particle-induced background, is modeled using closed filter data scaled by data from the unexposed corners of the detectors in the individual observation. The scaling is energy dependent and based on the hardness and intensity of the corner data (which are temporally variable). This background modeling removes most of the non-cosmic background but unfortunately leaves untouched any backgrounds (particle or X-ray) originating in, or passing down the telescope tube (i.e., backgrounds which are blocked by the detector mask used in the closed-filter data collection). Most notably there are strong fluorescent Al  $K\alpha$  and Si  $K\alpha$  lines, and the possibility of soft protons at some quiescent level.

After background subtraction, the spectra from the full field of view of the first three HDF-N pointings and the low state of the fourth were statistically consistent, while the high state data from the fourth pointing showed a strong enhancement at energies less than 1.4 keV (consistent with the light curve), which was dominated by line emission. The spectra at higher energies of all four pointings (including both high and low states of the fourth) were consistent with each other as expected from their average count rates (Table 1).

### 2.1.2. Spectral Fitting

For the spectral fits of the *XMM-Newton* X-ray data we used RMFs and ARFs produced by the project SAS software package (Version 5.4.1). Xspec (Version 11.2, Arnaud (2001)) was used to fit a model of the cosmic X-ray background (CXB), SWCX line emission (a number of discrete lines), probable residual soft proton contamination (represented by a power law not folded through the instrumental efficiency), and the two instrumental lines (Al  $K\alpha$  and Si  $K\alpha$ ) to the data after subtraction of the modeled non-cosmic background. In order to constrain the cosmic contribution to the spectrum, we simultaneously fit RASS data provided through the HEASARC X-Ray Background Tool<sup>3</sup>, which uses data from Snowden et al. (1997) to create Xspec compatible spectra for selected directions on the sky. The direction of the sky which includes the HDF-N is relatively simple (by design there are no significantly bright point sources or extended objects in the field) and the CXB can be modeled using the four standard components listed in Kuntz & Snowden (2000): a cooler unabsorbed thermal component with  $T \sim 0.1$  keV representing emission from the Local Hot Bubble (e.g., Snowden et al. (1998)), an absorbed cooler thermal component also with  $T \sim 0.1$  keV representing emission from the lower halo, an absorbed hotter thermal component with  $T \sim 0.6$  keV representing Milky Way halo or local group emission (e.g., McCammon et al. (2002)), and an absorbed power law with a spectral index of 1.46 representing the unresolved emission of AGN and other cosmological objects (e.g., Chen, Fabian, & Gendreau (1997)). The absorption was fixed to the Galactic column density of H I ( $1.5 \times 10^{20}$  cm<sup>-2</sup>).

The spectral fitting was done simultaneously for eleven spectra, MOS1 and MOS2 data from the four observations with two spectra (high and low states) for the fourth observation, plus the RASS spectrum. The CXB model was fit to all spectra with no scaling between the different instruments besides the normalization for solid angle, which was fixed. The instrumental lines and soft-proton power law were fit only to the MOS data. The normalizations were allowed to differ between the MOS1 and MOS2 detectors but were constrained to be

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<sup>3</sup><http://xmm.gsfc.nasa.gov/cgi-bin/Tools/xraybg/xraybg.pl>

the same for all observations. The lines representing the SWCX were fit only to the MOS data from the high state of the fourth observation with the fit parameters constrained to be the same for the MOS1 and MOS2 data.

Figure 2 shows the results with the excess emission attributed to heliospheric charge exchange being clearly visible. The aluminum and silicon instrumental lines are the strong peaks at 1.49 keV and 1.74 keV, respectively. The SWCX emission was modeled by a set of Gaussians whose widths were set to zero except for the component at  $E \sim 0.8$  keV which covers O VIII and possible lines from Fe XVII. The fitted line strengths are listed in Table 2. Two additional Gaussian lines with energies less than 0.5 keV were included in the fits, and which can be associated with emission from highly ionized carbon and nitrogen. However, they are not included in Table 2 as their energies and line strengths were poorly constrained in the fits. Both the energy resolution and effective area of the MOS detectors fall off significantly at energies less than 0.5 keV adding to the loss of sensitivity in the energy range of the carbon and nitrogen lines. The power law background component, which is assumed to represent residual soft proton contamination, was added to bring consistency between the RASS and MOS data. Because this power law is best fit without being folded through the MOS effective area, it is not due to X-rays originating externally to the satellite as they would be cut off at lower energies by the filter. The effective flux in this component is  $\sim 0.85 \times 10^{-8}$  ergs cm<sup>-2</sup> sr<sup>-1</sup> s<sup>-1</sup> while the flux of the cosmic background model is  $7.15 \times 10^{-8}$  ergs cm<sup>-2</sup> sr<sup>-1</sup> s<sup>-1</sup> in the 0.2–5.0 keV band, with  $3.82 \times 10^{-8}$  ergs cm<sup>-2</sup> sr<sup>-2</sup> s<sup>-1</sup> from the extragalactic power law. Figure 3 shows the MOS1 spectra from the high state of the fourth pointing and the first observation along with the various components to the fit folded through the instrumental response.

The fit to the data is rather good with  $\chi^2_{\nu} = 1.13$  with 1921 degrees of freedom. The fitted values for the energies of the lines were consistent with the expected values in initial fits, and were subsequently fixed (except for the O VIII and the possible Fe complex at  $E \sim 0.8$  keV). In general it is possible to move power between one spectral component and another in fits of such complex models as used here. The fitted parameters of the cosmic background model are, for example, strongly correlated. However, the measurement of the SWCX emission is very robust (as indicated by the quoted 90% probability statistical errors in the line fluxes listed in Table 2) as the background is so well constrained and the emission is in a limited number of discrete lines.

## 2.2. The Solar Wind and *ACE* Data

The Advanced Composition Explorer (*ACE*) spacecraft was launched on 1997 August 25 to the Sun-Earth libration point (L1), about 235  $R_E$  from the Earth in the Sunward direction. *ACE* functions, in part, as an upstream monitor of the solar wind, the hot ( $\sim 10^6$  K) plasma continually flowing from the Sun, and provides almost continual measurements of the solar wind density, speed, and magnetic field, among many other parameters. Although there exists considerable variability, solar wind speeds are typically about 450 km s<sup>-1</sup> (about 1 keV/nucleon) with fluxes at 1 AU of about  $4 \times 10^8$  cm<sup>-2</sup> s<sup>-1</sup> (Rucinski et al. 1996).

The solar wind by number density is about 95% protons and 4% helium nuclei. High charge state heavy ions (i.e., other than protons and He<sup>+2</sup>) comprise less than 1% of the solar wind by number. These ions include oxygen, dominated by charge states O<sup>+6</sup> and O<sup>+7</sup>, neon dominated by charge state Ne<sup>+8</sup>, and iron with a wide range of charge states sometimes as high as Fe<sup>+17</sup> (Gloeckler et al. 1999; Collier et al. 1996). The solar wind source ions for the O VII and O VIII lines in the X-ray spectrum are O<sup>+7</sup> and O<sup>+8</sup>, respectively. Although typically the dominant charge states of solar wind oxygen are +6 and +7, under certain conditions, e.g., in the CME-related solar wind (coronal mass ejection), O<sup>+8</sup> can be comparable to and even dominate the O<sup>+7</sup> (Galvin 1997). Ne<sup>+9</sup> and Mg<sup>+11</sup>, the solar wind source ions for Ne IX and Mg XI SWCX emission, have also been observed in the solar wind (A. B. Galvin, private communication).

During the period of the 2001 June 1-2 *XMM-Newton* HDF-N pointing, the *ACE* Level 2<sup>4</sup> data show that the O<sup>+7</sup>/O<sup>+6</sup> ratio was highly variable (Figure 1). The average ratio was enhanced by over a factor of two during the period when the SWCX emission was detected compared to the first three pointings, and dropped by nearly an order of magnitude at the end of the pointing concurrent with the reduction in solar wind flux (Table 3). This large increase in the relative abundance of the higher ionization state during the SWCX period strongly suggests that the relative abundances of the ionization states of O<sup>+8</sup>, Ne<sup>+9</sup>, and Mg<sup>+11</sup> may also be significantly enhanced. Observation of SWCX emission from these species is therefore quite reasonable and expected.

The temporal variation of the X-ray data requires that the enhancement originates locally, at least in the solar system if not in the satellite/near-Earth environment. The presence of X-ray emission lines corresponding to the highly ionized charge states of oxygen, neon, and magnesium eliminates an origin internal to the satellite, and strongly implicates SWCX as the source of the emission enhancement. Furthermore, data from the Low Energy

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<sup>4</sup><http://www.srl.caltech.edu/ACE/ASC/>

Neutral Atom imager on the *IMAGE* spacecraft inside the magnetosphere during this event show Sun signal brightening interpreted as neutral solar wind resulting from SWCX (Collier et al. 2001).

### 2.3. Observation Geometry

Due to satellite constraints, the look direction of *XMM-Newton* must lie within  $20^\circ$  of perpendicular to the Earth-Sun line. The geometry of the 2001 June 1–2 observation with respect to the near-Earth environment is shown in Figure 4. The satellite is on the Sun side of the Earth with a look direction at an angle of  $\sim 83^\circ$  between the Sun and look directions. At all times the satellite and the observation line of sight are outside of Earth's magnetosphere, based on the magnetospheric model of Petrinec & Russell (1996). However, the line of sight may possibly pass through the magnetosheath relatively near the sub-solar point.

On a more global scale, during the time period of the 2001 June 1–2 observation the location of Earth in its orbit placed it almost directly upstream from the Sun relative to the flow of interstellar material through the solar system.

## 3. Discussion

Given *XMM-Newton's* position and look direction relative to the Earth around the time of the solar wind flux increase (§ 2.3), as shown in Figure 4, the SWCX emission could possibly be from either exospheric material in Earth's magnetosheath or from interstellar neutrals in the heliosphere, or more likely both (at least to some level). The observation geometry is such that depending on the extent of the magnetosheath at the time, the observation line of sight passes nearly tangentially through its sub-solar region, and therefore the region of strongest production of X-rays by SWCX (Robertson & Cravens 2003a). However, the line of sight could also be entirely outside of the magnetosheath and therefore it would avoid much of that emission. The detailed analysis of this geometry will be the subject of a following paper.

### 3.1. The SWCX Emission Spectrum

From Table 2 and Figure 2 it is clear that the excess emission can be well characterized by a limited number of highly significant lines with energies consistent with those expected

from SWCX. With certain assumptions it is possible to use the observed X-ray line ratios to derive the concurrent ion ratios.

The fitted O VIII/O VII line ratio from Table 2 is 0.88. However, from Kharchenko et al. (2003), the O VII  $3^1P \rightarrow 1^1S$  and  $3^3P \rightarrow 1^1S$  lines contaminate the O VIII line at  $\sim 0.65$  keV, and contribute  $\sim 0.58 \text{ cm}^{-2} \text{ sr}^{-1} \text{ s}^{-1}$  (using a factor of 0.079, derived from the relative intensities of the relevant lines in their Table 1, to scale the O VII 0.57 keV flux). Correcting for this contribution, and assuming that the 0.81 keV emission is all from O VIII (see below), the total O VIII/O VII emission ratio is then  $\sim 0.94$  (Table 4). To convert the emission ratio to an ion number ratio we use the SWCX cross sections in Wargelin et al. (2004). The ratios between the hydrogen and helium SWCX cross sections for  $O^{+7}$  and  $O^{+8}$  are roughly the same, so we use the  $O^{+8}/O^{+7}$  hydrogen cross section ratio of 1.66 to scale the flux ratio (this assumes that the scattering is optically thin and hydrogen is the dominant SWCX target neutral). This implies a solar wind  $O^{+8}/O^{+7}$  abundance ratio of  $0.57 \pm 0.07$ , which is somewhat higher than the more typical value of 0.35 (e.g., Schwadron & Cravens (2000)), but not unreasonable, as would be expected considering the enhancement of the  $O^{+7}/O^{+6}$  ratio.

The energy and non-zero width for the fitted line at  $E \sim 0.8$  keV is consistent with the expected O VIII  $\text{Ly}\beta$  through  $\text{Ly}\epsilon$  emission (Wargelin et al. (2004)). However, this is also the energy of possible Fe XVII emission. The ratio of the line strengths,  $\text{Ly}\alpha/\text{Ly}(\beta - \epsilon)$ , predicted by Wargelin et al. (2004) is 2.75 (with a  $\sim 30\%$  uncertainty), while our measured ratio is  $3.9 \pm 0.8$ . The two values are therefore in reasonably good agreement but the result does not rule out a contribution from Fe XVII. It does suggest that any Fe contribution to the observed flux is relatively limited.

### 3.2. Contemplation of the Light Curve

As measured by *ACE*, the solar wind during the 2001 June 1–2 period is in its “slow” state but with fairly uncharacteristic ion abundances and flux during the enhancement period. The slow wind, which is expected near solar maximum, is relatively isotropic in its expansion away from the Sun. The fast wind which is typical away from the period of solar maximum is strongly enhanced toward the equatorial plane.

Solar wind fluxes during the first three *XMM-Newton* HDF-N observations were close to nominal levels. However, during the observation on 2001 June 1–2, the solar wind flux observed by the *ACE* spacecraft was enhanced (as shown in Figure 1) by up to nearly an order of magnitude over its typical value of about  $3 \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$ . The average ionization

state ratios for the observation (Table 3) were also significantly enhanced. This enhancement was relatively localized; it lasted 5-6 hours at a solar wind speed of  $\sim 350 \text{ km s}^{-1}$  implying a width of about 0.05 AU in the direction toward the Sun. The X-ray flux decrease at  $T = 81000$  (2001 June 1, 22:30 UT) and the sharp drop in both the solar wind flux and  $\text{O}^{+7}/\text{O}^{+6}$  ratio propagated to Earth from the L1 point (a delay of  $\sim 4000 \text{ s}$ ) are approximately contemporaneous, which suggests the X-ray flux is responding primarily to local solar wind conditions.

However, comparison of the solar wind and X-ray light curves raises two critical questions. First, if the SWCX emission enhancement observed by *XMM-Newton* is due to a local phenomena, why is the large variation in solar wind flux not reflected in the X-ray intensity? Over the course of 20 ks (roughly six hours) the solar wind flux increases by a factor of six while the X-ray light curve is essentially constant (Figure 1). If the SWCX emission was due solely to interactions with material from Earth's exosphere, then the X-ray light curve should be closely linked to the solar wind flux measured by *ACE* at the L1 point. Robertson & Cravens (2003a) point out that the geometry of the magnetosheath is strongly affected by the density and velocity of the solar wind. With the observation geometry considered by Robertson & Cravens (2003a), i.e., looking out through the flanks of the magnetosheath, there is some buffering of the X-ray emission which smooths out the effects of strong solar wind enhancements. However, with the geometry of this observation where the line of sight possibly runs tangentially through their region of maximum X-ray emission near the magnetosheath sub-solar point, the situation is much more confused, and perhaps more likely to produce variations rather than to smooth out the SWCX flux.

Given the possible difficulty in turning the large variation of the solar wind flux into a relatively constant X-ray light curve, a possible solution might be to distribute the emission along the line of sight through the heliosphere. This would smooth out temporal variations originating with the solar wind. However, this brings up the second question. If the emission is distributed through the heliosphere why do the solar wind and X-ray enhancements cut off at nearly the same time? Figure 5 illustrates the observation geometry on a larger scale. Since the pointing direction is  $\sim 83^\circ$  from the Earth-Sun line, the observation samples a relatively long path length through the solar wind enhancement with a heliocentric distance similar to that of the Earth. If the solar wind enhancement is a spherically symmetric shell moving outward there should still be a strong enhancement in the SWCX emission roughly concurrent with the *ACE* measurement. However, even introducing large variations in the density profile and arrival time of the solar wind enhancement as a function of direction from the Sun will not in general smooth out the X-ray light curve significantly. In addition, once the position along the line of sight is greater than 1 AU from the Sun (the short dashed part of the line of sight in Figure 5), it is sampling the solar wind enhancement after it would have

passed Earth, and therefore the X-ray count rate should fall off after the fall-off of the solar wind enhancement as measured at Earth. One might argue that such a fall-off could slow and therefore not be obvious in the relatively limited lower flux part of the 0.52–0.75 keV light curve. However, the absence of O VIII emission during that period indicates that the SWCX emission really has cut off.

The quandary is therefore: 1) If the X-ray emission is from the magnetosheath why is it so constant? 2) if the X-ray emission is distributed through the heliosphere why does the emission fall off concurrently with the end of the solar wind enhancement, and why (again) is the SWCX emission light curve so constant?

### 3.3. Comparison with RASS LTEs

The episode of SWCX emission reported here lasted for at least 38 ks ( $\sim 10.6$  hours) which would have extended over seven *ROSAT* orbits. This is sufficiently long enough to be classified as a LTE. However, the spectrum of this episode is considerably harder than typical LTEs observed during the RASS. The fluxes listed in Table 2 would have produced a *ROSAT* PSPC count rate of  $\sim 210 \times 10^{-6}$  counts arcmin $^{-2}$  s $^{-1}$  in the R45 ( $\frac{3}{4}$  keV) band, only slightly less than twice the typical intensity of the cosmic background at high Galactic latitudes. Most LTEs were dominated by emission in the R12 ( $\frac{1}{4}$  keV) band and the brightest  $\frac{3}{4}$  keV band LTE enhancements were only roughly half of the intensity of this detection. This episode of SWCX emission is therefore not typical, at least of the LTEs measured by *ROSAT*.

## 4. Conclusions

The data from the *XMM-Newton* observation of the *Hubble* Deep Field North show clear evidence for a time-variable component of the X-ray background that is most reasonably attributed to charge exchange emission between the highly ionized solar wind and exospheric or interplanetary neutrals. The SWCX emission is dominated by the lines from O VII, O VIII, Ne IX, and Mg XI, and possibly lines from highly ionized iron (e.g., Fe XVII). The emission is concurrent with the passage of a strong enhancement in the solar wind observed by the *ACE* satellite which is also strongly enhanced in its O $^{+7}$ /O $^{+6}$  ratio, and presumably other highly ionized species. However, the light curve of the X-ray enhancement is relatively constant while the flux of the solar wind enhancement varies considerably.

The observation of SWCX emission allows the monitoring of interactions between the solar wind and solar system and/or interstellar neutrals without the need for *in situ* mea-

surements, albeit with the uncertainty of just where along the line of sight the emission arises. However, in certain circumstances the distance ambiguity can be resolved allowing the detailed study of the related phenomena. Two such situations are mentioned above: the case of SWCX emission from comets and exospheric material in the *Chandra* dark moon observation. The ability to remotely sense the solar wind and its interactions can also be used when the emission is expected to have a predictable variation, such as an X-ray scan across the magnetopause sub-solar point (Robertson & Cravens 2003a). Also, for example, *ROSAT* data from the All-Sky Survey suggest that the downstream helium focusing cone can be imaged in X-rays (e.g., Collier et al. (2003)).

The charge exchange line emission can provide a significant background to observations of more distant objects (by any X-ray observatory with sensitivity at energies less than 1.5 keV) which use those lines for diagnostics. However, the correlation of the X-ray enhancement with the solar wind density enhancement suggests a diagnostic. For those observations at risk because of such contamination, e.g., observations of sources which cover the entire field of view and have thermal spectra, the data from solar wind monitoring observatories such as *ACE* should be used for screening. Should the SWCX emission be primarily exospheric in origin (e.g., from the magnetosheath), then the specific geometry of the observation should be examined as well. An observation where the observatory is outside of the magnetosheath and looking away from Earth would clearly have significantly less exospheric SWCX emission than the case of the emission reported in this paper where the observation line of sight may be passing tangentially through the magnetopause near the sub-solar point.

While SWCX emission is likely responsible for the LTEs observed during the *ROSAT* All-Sky Survey, the SWCX episode presented here was significantly harder than what was typically observed. However, one important issue to note is that none of the X-ray emission lines discussed here (or discussed in Wargelin et al. (2004)) contribute significantly to the  $\frac{1}{4}$  keV band, where most of the LTE emission was observed. Also of note is that the geometry of this observation relative to Earth's geomagnetic environment is considerably different from that of the RASS. In this case we may be looking tangentially through the magnetosheath near the sub-solar point while *ROSAT* with its low circular orbit effectively looked radially outward through the flanks of the magnetosheath.

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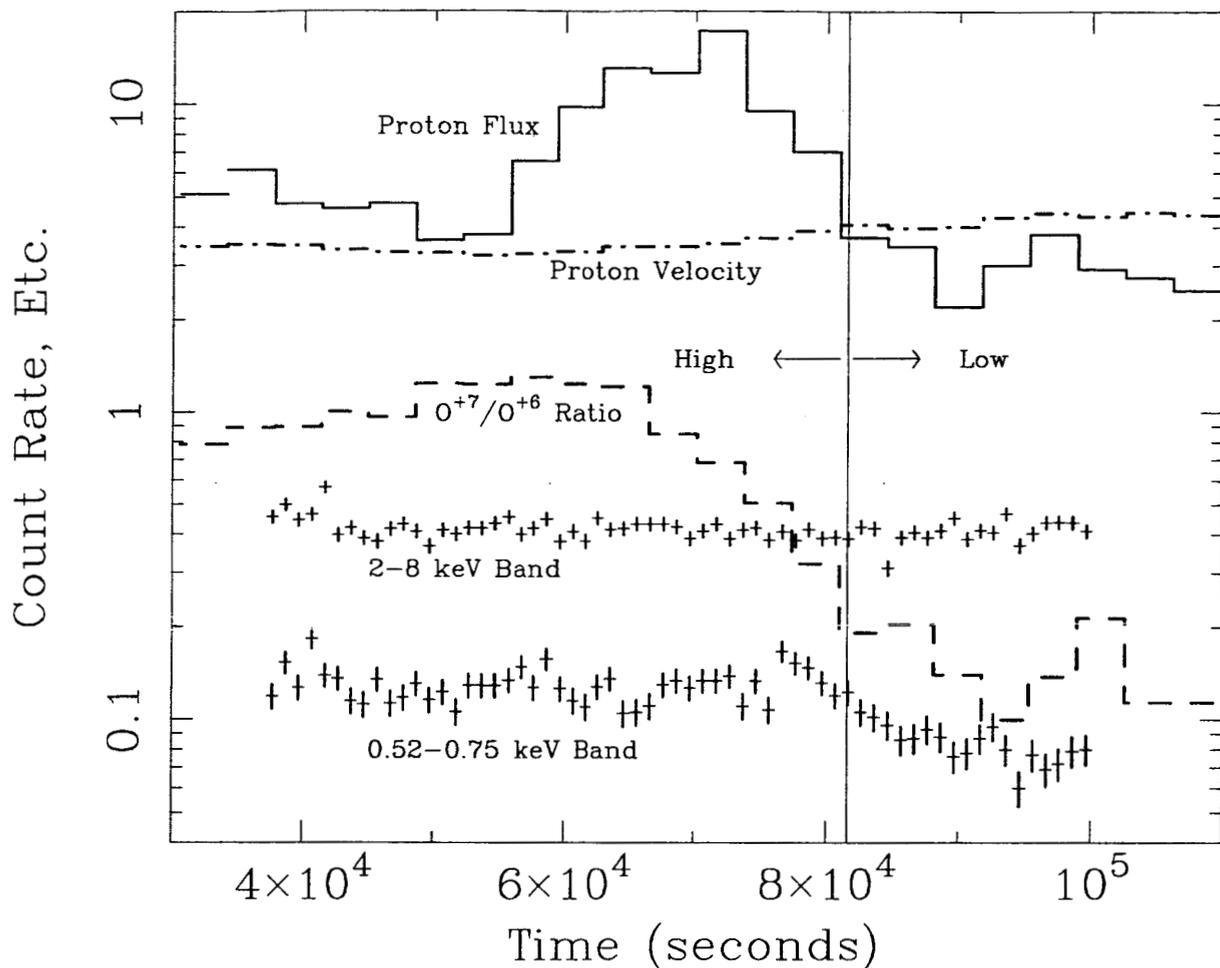


Fig. 1.— Light curves for the observation affected by the SWCX emission (HDF-N #4). The dot-dash curve shows the hourly *ACE SWEPEM* proton velocity data in units of  $100 \text{ km s}^{-1}$ . The solid curve shows the hourly *ACE SWEPEM* proton flux data in units of  $10^8 \text{ cm}^{-2} \text{ s}^{-1}$ . The dashed curve shows the hourly *ACE SWICS*  $\text{O}^{+7}/\text{O}^{+6}$  ratio data smoothed with a five hour running average. *ACE* data have not been adjusted for travel time from the L1 point to Earth (a delay of  $\sim 4000 \text{ s}$ ). The upper set of points shows the *XMM-Newton* MOS1 light curve for the 2–8 keV band in counts  $\text{s}^{-1}$ . The lower set of points shows the light curve for the 0.52–0.75 keV band in counts  $\text{s}^{-1}$ , which includes the O VII and most of the O VIII line emission. Periods of soft proton-flaring have been removed. The time used to separate the spectra with and without the SWCX emission is shown by the vertical line. The X-ray count rates do not have the particle background subtracted.

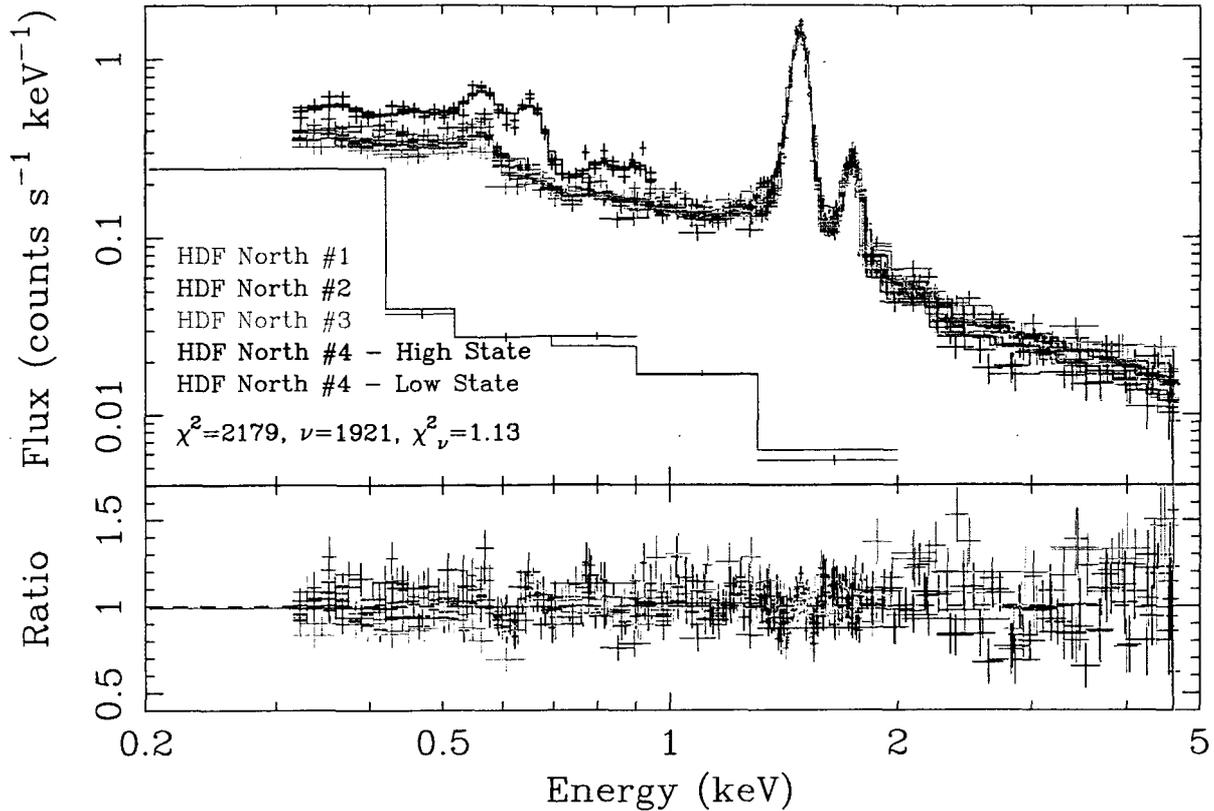


Fig. 2.— Fitted MOS1, MOS2, and *ROSAT* All-Sky Survey spectra for the four observations of the *Hubble* Deep Field North. The black curve and data are from Observation #4 (2001 June 1–2) during the higher count rate period (see Figure 1). The red curve and data are from Observation #4 during the lower count rate period. The green, blue, and light blue curves and data are from observations #1, #2, and #3, respectively. The lower data and curve (purple) are the RASS data and model, which have been scaled by a factor of 100 for display purposes. The excess of the black spectrum can be modeled with O VII, O VIII, Ne IX, and Mg XI emission, plus a couple of poorly-constrained lines with  $E < 0.5$  keV which can be attributed to highly ionized C and N. The additional bump at  $\sim 0.8$  keV likely consists of O VIII lines but may also have contributions from Fe XVII. Note the absence of a strong O VIII bump in the no-excess spectra. Below the instrumental lines ( $E < 1.4$  keV) the model particle background accounts for roughly 25% of the observed counts, above 2 keV about 40% of the observed counts.

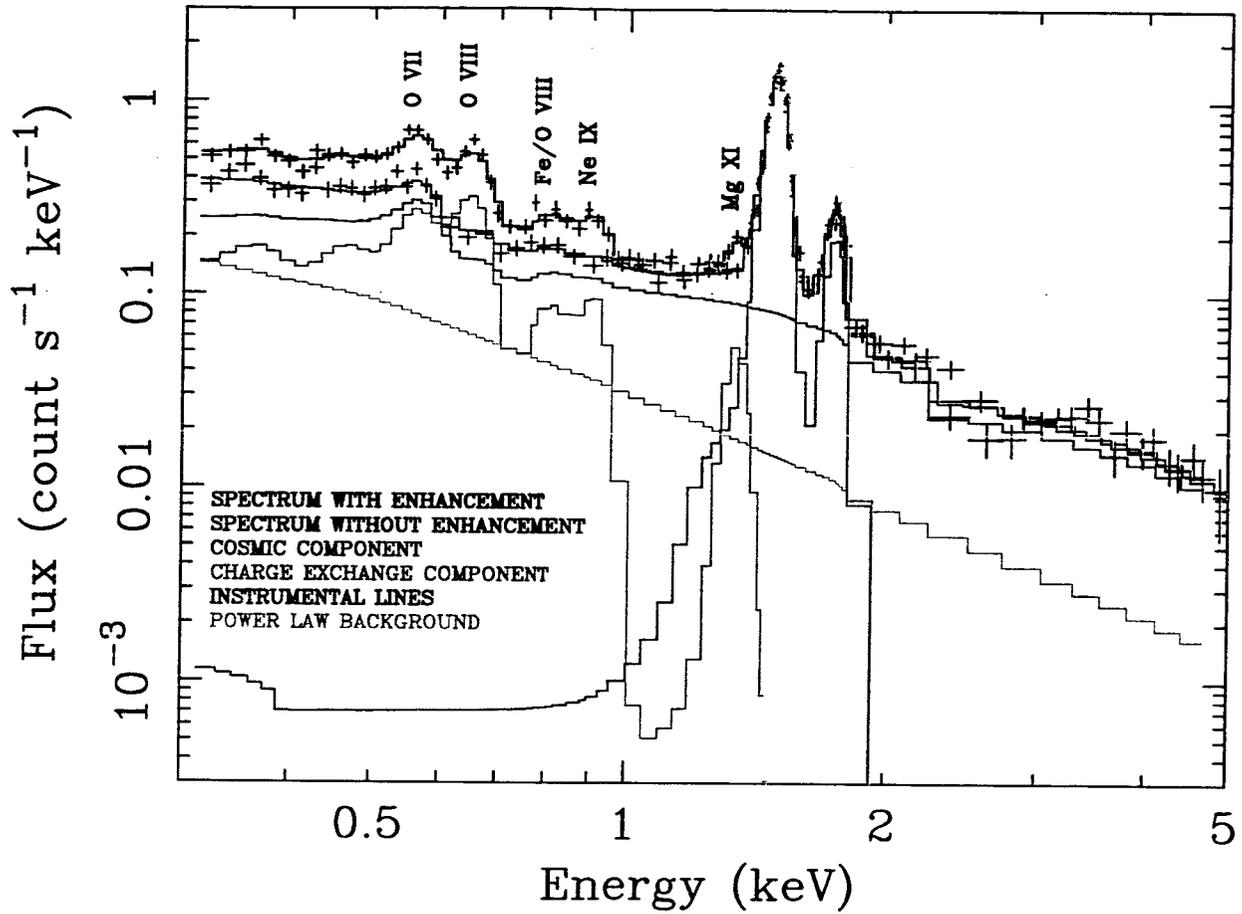


Fig. 3.— Model components of the best spectral fit folded through the instrumental response. The MOS1 spectra from HDF-N #1 (red) and from the SWCX emission period of HDF-N #4 (black) are shown along with the heliospheric, cosmic background, instrumental lines, and power law (likely soft proton) background components.

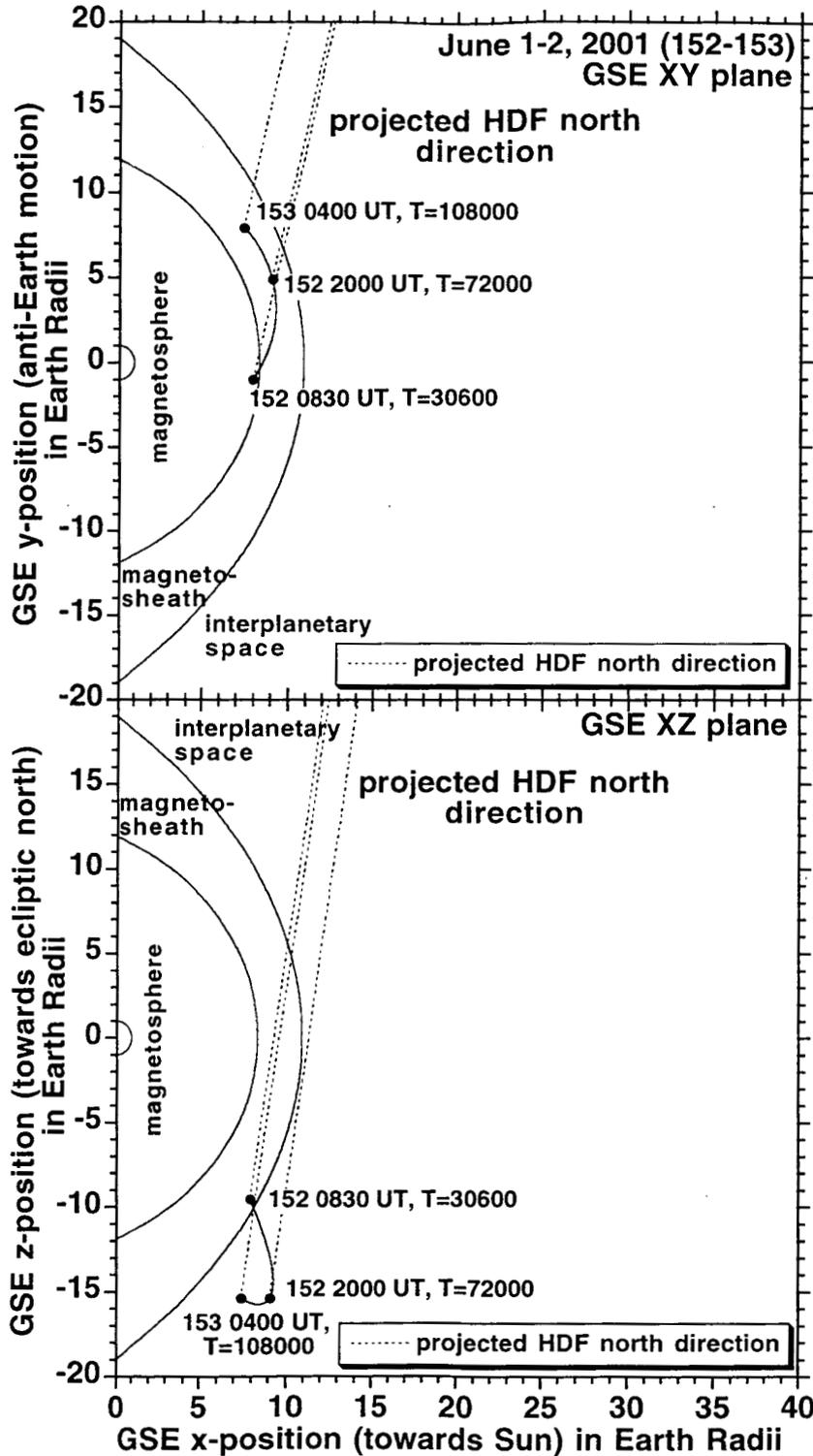


Fig. 4.— The geometry of the 2001 June 1 (fourth HDF-N) observation. The upper panel shows the observation line of sight projected onto the plane of the ecliptic where the positive X-axis points toward the Sun and the positive Y-axis is opposite to Earth's velocity vector. The bottom panel shows the observation line of sight projected onto the vertical plane where the positive Z-axis is toward the north ecliptic pole. Note that the line of sight is slightly sunward and lies in all cases outside of the magnetosphere.

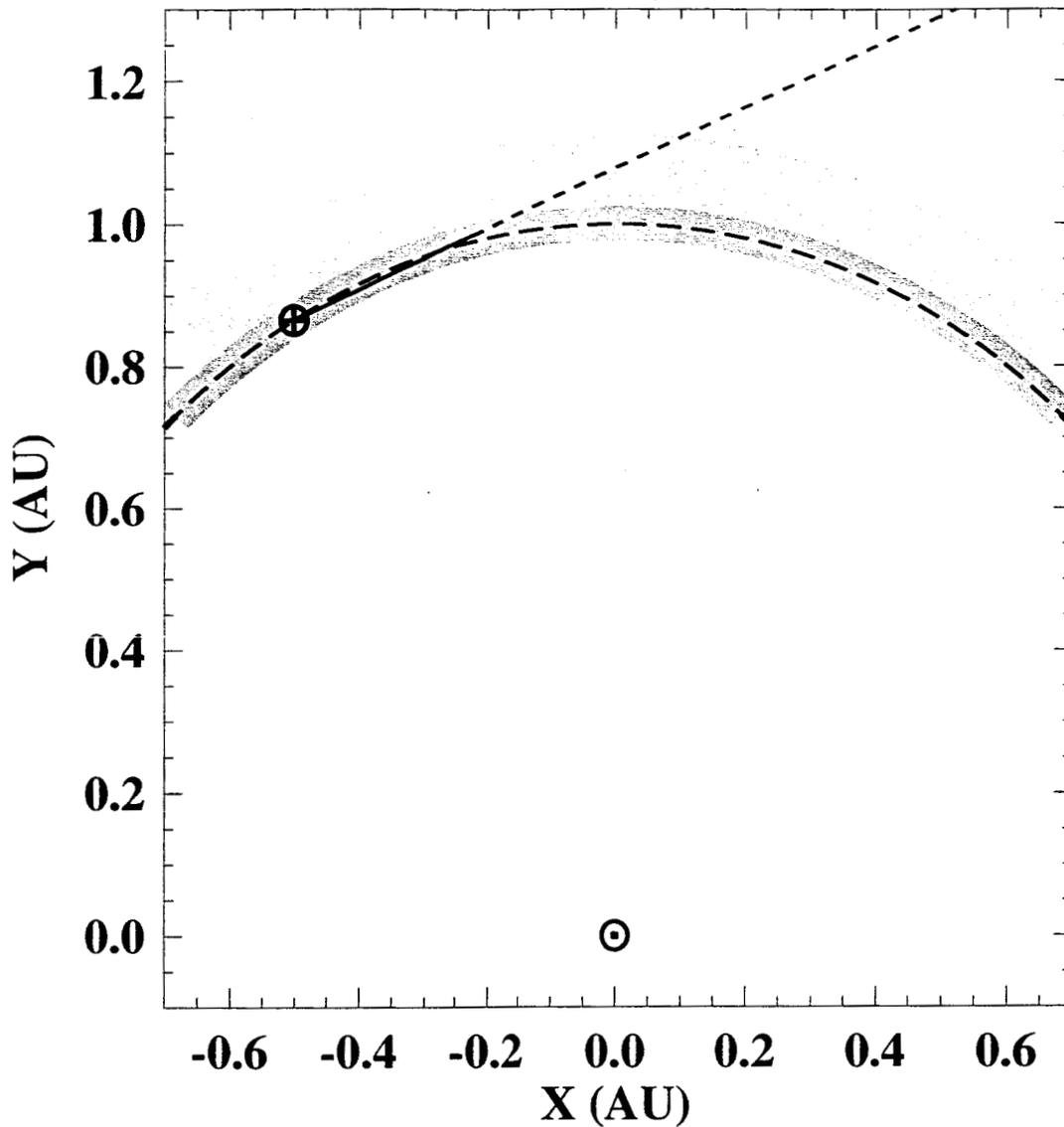


Fig. 5.— A cartoon of the solar system geometry for the observation of charge exchange from the density enhancement in the solar wind. The X,Y plane is defined by the Earth-Sun line and the look direction. The strongest part of the enhancement, shown as the darkest shading, lasts about a quarter of a day, which with the typical velocity of the solar wind (about a quarter of an AU per day) extends about 5% of an AU. The solar wind density was enhanced by about a factor of three (shown by the lighter shading) for more than a half a day preceding the main enhancement. The solid line from the Earth shows the look direction, and shows how the solar wind enhancement is sampled with the assumption of a spherically symmetric propagation.

Table 1. *XMM-Newton Hubble Deep Field North Observations.*

Observation ID	Start Date and Time	Exposure (ks)	Useful Exp <sup>a</sup> (ks)	Count Rate <sup>b</sup> 0.52–0.75 keV	Count Rate <sup>b</sup> 2.0–8.0 keV
0111550101	2001-05-18T08:46:57	46.4	39.5	$0.078 \pm 0.002$	$0.413 \pm 0.004$
0111550201	2001-05-18T22:17:34	46.6	35.1	$0.080 \pm 0.002$	$0.407 \pm 0.005$
0111550301	2001-05-27T06:15:22	52.6	13.8	$0.074 \pm 0.003$	$0.428 \pm 0.007$
0111550401 <sup>c</sup>	2001-06-01T08:16:36	95.4	38.1	$0.126 \pm 0.002$	$0.405 \pm 0.003$
0111550401 <sup>d</sup>	–	–	17.2	$0.084 \pm 0.002$	$0.400 \pm 0.005$

<sup>a</sup>Exposure remaining after time periods affected by obvious soft-proton flaring have been excluded.

<sup>b</sup>Average EPIC MOS1 count rate for the flare-free periods in counts s<sup>-1</sup>. The count rates include the contributions of the quiescent particle background.

<sup>c</sup>Charge exchange emission interval.

<sup>d</sup>Quiescent emission interval.

Table 2. Heliospheric emission line fluxes.

Line <sup>a</sup>	Energy keV	Photon Flux <sup>b</sup> (cm <sup>-2</sup> sr <sup>-1</sup> s <sup>-1</sup> )	Energy Flux (10 <sup>-9</sup> ergs cm <sup>-2</sup> sr <sup>-1</sup> s <sup>-1</sup> )
O VII <sup>c</sup>	0.56	7.39 ± 0.79	6.66
O VIII <sup>d</sup>	0.65	6.54 ± 0.34	6.84
O VIII <sup>e</sup>	0.81	1.52 ± 0.28	1.96
Ne IX	0.91	0.85 ± 0.22	1.24
Mg XI	1.34	0.40 ± 0.08	0.85

<sup>a</sup>Lines were included for N and C in the fits, however the poorer spectral resolution at lower energies did not allow for their energies and fluxes to be well constrained.

<sup>b</sup>The quoted errors are the 90% confidence limits.

<sup>c</sup>The O VII "line" at 0.56 keV is a complex made up of the  $2^3s \rightarrow 1^1S$ ,  $2^3P \rightarrow 1^1S$ , and  $2^1P \rightarrow 1^1S$  transitions.

<sup>d</sup>O VIII  $2p \rightarrow 1s$  is the dominant transition, but there are contributions from O VII  $3^1P \rightarrow 1^1S$  and  $3^3P \rightarrow 1^1S$  transitions.

<sup>e</sup>Includes possible Fe XVII emission.

Table 3. Average *ACE* solar wind values during the *XMM-Newton* observations.

Observation ID	H <sup>+</sup> Speed <sup>a</sup> (km s <sup>-1</sup> )	H <sup>+</sup> Density <sup>a</sup> (cm <sup>-3</sup> )	H <sup>+</sup> Flux (10 <sup>8</sup> cm <sup>-2</sup> s <sup>-1</sup> )	O <sup>+7</sup> /O <sup>+6</sup> Ratio <sup>b</sup>
0111550101	447	6.4	2.9	0.46
0111550201	451	3.3	1.5	0.43
0111550301	457	2.0	0.9	0.41
0111550401 <sup>c</sup>	345	23.3	8.0	0.99
0111550401 <sup>d</sup>	418	7.6	3.2	0.15

<sup>a</sup>*ACE* SWEPAM data.

<sup>b</sup>*ACE* SWICS-SWIMS data.

<sup>c</sup>Charge exchange emission interval.

<sup>d</sup>Quiescent emission interval.

Table 4. Total<sup>a</sup> heliospheric oxygen ion emission fluxes.

SW Ion	Energy (keV)	Photon Flux (cm <sup>-2</sup> sr <sup>-1</sup> s <sup>-1</sup> )
O <sup>+7</sup>	0.56 & 0.65	7.97 ± 0.85
O <sup>+8</sup>	0.65 & 0.81	7.48 ± 0.44

<sup>a</sup>The O<sup>+7</sup> flux includes the modeled contributions from the O VII 3<sup>1</sup>P → 1<sup>1</sup>S and 3<sup>3</sup>P → 1<sup>1</sup>S transitions. The O<sup>+8</sup> flux excludes the modeled contributions from the O VII 3<sup>1</sup>P → 1<sup>1</sup>S and 3<sup>3</sup>P → 1<sup>1</sup>S transitions but adds all of the emission at 0.81 keV assuming that it is from O VIII Ly(β - ε).

Table 5. Heliospheric Emission and Abundance Ratios.

SW Ion Ratio	X-ray Flux Ratio <sup>a</sup>	Implied Ion Ratio	ACE Ion Ratio (2001 June 1)	Typical Ion Ratio <sup>b</sup> (Slow Wind)
O <sup>+7</sup> /O <sup>+6</sup>	–	–	0.99	0.27
O <sup>+8</sup> /O <sup>+7</sup>	0.94 ± 0.11	0.57 ± 0.07	–	0.35
Ne <sup>+9</sup> /O <sup>+7c</sup>	0.11 ± 0.03	–	–	–
Mg <sup>+11</sup> /O <sup>+7</sup>	0.05 ± 0.01	–	–	–

<sup>a</sup>The O<sup>+7</sup> flux includes the modeled contributions from the O VII 3<sup>1</sup>P → 1<sup>1</sup>S and 3<sup>3</sup>P → 1<sup>1</sup>S transitions. The O<sup>+8</sup> flux excludes the modeled contributions from the O VII 3<sup>1</sup>P → 1<sup>1</sup>S and 3<sup>3</sup>P → 1<sup>1</sup>S transitions but adds all of the emission at 0.81 keV assuming that it is from O VIII Ly(β – ε).

<sup>b</sup>From Schwadron & Cravens (2000).

<sup>c</sup>Ne<sup>+9</sup> has been detected in the solar wind (Galvin 1997).